

**FORM 4-V: Notice of Violation (For All Security Violations Without a Civil Penalty)(Reactor Licensees)**

**SAFEGUARDS INFORMATION**

**NOTICE OF VIOLATION**

(Name of licensee)  
(Facility name)

Docket No.(s) \_\_\_\_\_  
License No.(s) \_\_\_\_\_  
EA-YY-XXX

During an NRC inspection (investigation) conducted on (date(s)) a violation(s) of NRC requirements was (were) identified. In accordance with the NRC Enforcement Policy, the violation(s) is (are) listed below:

[State the requirement that was violated.]

Contrary to the above, [Describe precisely how the requirement was violated and provide the date of the violation. For multi-unit sites, indicate the unit or units involved.]

This is a Severity Level\_\_ violation (problem), Supplement \_\_ of the Enforcement Policy. [Alternatively, for NOV's associated with SDP issues, use: This violation is associated with a Green (White/Yellow/Red) SDP finding.]

Pursuant to the provisions of 10 CFR 2.201, (name of licensee) is hereby required to submit a written statement or explanation to the U.S. Nuclear Regulatory Commission, ATTN: Document Control Desk, Washington, DC 20555-0001 with a copy to the Regional Administrator, Region \_\_, and a copy to the NRC Resident Inspector at the facility that is the subject of this Notice, within 30 days of the date of the letter transmitting this Notice of Violation (Notice). This reply should be clearly marked as a "Reply to a Notice of Violation; EA-YY-XXX" and should include for each violation: (1) the reason for the violation, or, if contested, the basis for disputing the violation or severity level, (2) the corrective steps that have been taken and the results achieved, (3) the corrective steps that will be taken to avoid further violations, and (4) the date when full compliance will be achieved. Your response may reference or include previous docketed correspondence, if the correspondence adequately addresses the required response. If an adequate reply is not received within the time specified in this Notice, an order or a Demand for Information may be issued as to why the license should not be modified, suspended, or revoked, or why such other action as may be proper should not be taken. Where good cause is shown, consideration will be given to extending the response time.

If you contest this enforcement action, you should also provide a copy of your response, with the basis for your denial, to the Director, Office of Enforcement, United States Nuclear Regulatory Commission, Washington, DC 20555-0001.

**SAFEGUARDS INFORMATION**

## SAFEGUARDS INFORMATION

Because this issue involves security-related information, your response will not be made available electronically for public inspection in the NRC Public Document Room or from the NRC's document system (ADAMS), accessible from the NRC Web site at <http://www.nrc.gov/reading-rm/adams.html>. If Safeguards Information is necessary to provide an acceptable response, please provide the level of protection described in 10 CFR 73.21. [Alternatively use: "If Classified Information is necessary to provide an acceptable response, please provide the level of protection described in 10 CFR Part 95." Otherwise, mark your entire response "Security-Related Information - Withhold Under 10 CFR 2.390."]

[For violations where the region has determined that no response is required, the following paragraphs may be substituted:

"The NRC has concluded that information regarding the reason for the violation, [if more than one violation, specify which violation or violations] the corrective actions taken and planned to correct the violation and prevent recurrence and the date when full compliance will be (was) achieved is already adequately addressed on the docket in [indicate correspondence, e.g., Inspection Report No.(XXXXXXXX/YYYNNN (LER YY-NNN) , or letter from Licensee] dated (date) . However, you are required to submit a written statement or explanation pursuant to 10 CFR 2.201 if the description therein does not accurately reflect your corrective actions or your position. In that case, or if you choose to respond, clearly mark your response as a "Reply to a Notice of Violation," include the EA number, and send it to the U.S. Nuclear Regulatory Commission, ATTN: Document Control Desk, Washington, DC 20555-0001 with a copy to the Regional Administrator, Region\_\_, and a copy to the NRC Resident Inspector at the facility that is the subject of this Notice, within 30 days of the date of the letter transmitting this Notice of Violation (Notice).

Because this issue involves security-related information, if you choose to respond, your response will not be made available electronically for public inspection in the NRC Public Document Room or from the NRC's document system (ADAMS), accessible from the NRC Web site at <http://www.nrc.gov/reading-rm/adams.html>. If Safeguards Information is necessary to provide an acceptable response, please provide the level of protection described in 10 CFR 73.21. [Alternatively use: "If Classified Information is necessary to provide an acceptable response, please provide the level of protection described in 10 CFR Part 95." Otherwise, mark your entire response "Security-Related - Withhold Under 10 CFR 2.390."]

Dated this \_\_\_\_\_ day of (Month) 20(XX)

## SAFEGUARDS INFORMATION